



Beneficial Ownership Form

General Instructions

All beneficial owners must be listed on this form, they are defined for the purpose of this form as a natural person or an entity owning more than 10 percent of the shares, interests or voting rights in the applicant for licensing.

1. This form is to be filled out by the owner or the authorized agent for the company, partnership or co-operative of all entities submitting applications
2. This form is to be completed electronically or in writing in BLOCK LETTERS
3. This form must be completed in English, and all supporting documentation must be in English.
4. In cases where the Beneficial Owner is an entity then that entity must be represented by a natural person

For clarification, when completing the form please note the following instructions with respect to completing the form. Each beneficial owner must be listed

- I. In a circumstance of a Partnership (limited) being a beneficial owner, you are required to list the General Partner.
- II. In a circumstance of a Trust being a beneficial owner, you are required to list the settler, protector, trustee, named beneficiary(ies) and any individual with control the company by other means.
- III. In a circumstance of a Company Limited by guarantee & mutual co, where the administration does not allow for the distribution of profits or capital, a Relevant Legal Entity (RLE), with control by any means if in existence must be listed. However, in the case where profits or capital distribution is allowed by the governance documents
- IV. An individual with more than 10% shares in the Company Limited by guarantee & mutual co must be listed on the statement if they are entitled to a share in more than 10% of profits or capital by way of such distribution.

Legal Name of Applicant								
Name of Beneficial Owner (Entity or Person)	Person with Control (if BO is an entity)	% of Beneficial Ownership/ % Shares	Country of Birth	Address (When BO is an entity list the address of both the entity and the Person with control)	Contact Details (direct phone number)	Gender	Nationality	Date of Birth

Signature of Authorized Agent

Date